# Ab Initio Models for Receptor-Ligand Interactions in Proteins. <br> 3. Model Assembly Study of the Proton Transfer in the Hydroxylation Step of the Catalytic Mechanism of p-Hydroxybenzoate Hydroxylase 

Mikael Peräkylä and Tapani A. Pakkanen*<br>Contribution from the Department of Chemistry, University of Joensuu, P.O. Box 111, 80101 Joensuu, Finland

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#### Abstract

Proton transfer in the hydroxylation step of the catalytic mechanism of $p$-hydroxybenzoate hydroxylase was investigated using the $a b$ initio model assembly approach. In the hydroxylation step the hydroxy group is introduced at the ortho position of $p$-hydroxybenzoate. The calculations showed, in accordance with the experimental observations, that ionization of the $p$-hydroxybenzoate is energetically feasible. The role of individual amino acid residues forming hydrogen bonds with the ligand was investigated energetically, and the residues were calculated to stabilize the ionized form of $p$-hydroxybenzoate. The calculations also supported the conclusion that the intermediate in the hydroxylation is the radical OH adduct of $p$-hydroxybenzoate.


## Introduction

$A b$ initio quantum mechanical methods can be used to obtain information about the electronic structure of molecules or reaction intermediates which is difficult or even impossible to obtain experimentally. One area where quantum mechanical methods have proven useful is in the simulation of enzyme reactions using model systems based on the X-ray crystal structure of the enzyme. ${ }^{1-5}$ In such calculations, model compounds are normally used to construct the active-site model. If results are to be reliable, these model compounds must be electrostatically and sterically similar to the species they are modeling. In earlier studies, ${ }^{6,7}$ we developed model compounds that reproduce the electrostatic and steric properties of amino acids and a study was made of the applicability of the model compound approach in general. Here the model compound approach is applied to study the enzyme reaction of $p$-hydroxybenzoate hydroxylase ( PHBH ).

PHBH is a monooxygenase flavoenzyme that catalyzes the conversion of $p$-hydroxybenzoate ( $p$-OHB) to 3,4 -dihydroxybenzoate ( $3,4-\mathrm{DOHB}$ ) using molecular oxygen and nicotinamide adenine dinucleotide phosphate (NADPH):


The numbering of the atoms of $p-\mathrm{OHB}, 3,4-\mathrm{DOHB}$, and the flavin ring is presented in Figure 1. Several X-ray structures of PHBH have been determined, ${ }^{8-13}$ and the enzyme reaction has

[^0]a)

b)


c)


Figure 1. Atomic numbering of (a) $p-\mathrm{OHB}$, (b) 3,4-DOHB, and (c) flavin.
been extensively studied by spectroscopic, ${ }^{14-19}$ kinetic, ${ }^{20-25}$ and chemical modification ${ }^{26-28}$ studies. Studies of model reactions

[^1]a)

b)


Figure 2. (a) $3-\mathrm{OH}$ radical and (b) $3-\mathrm{OH}$ adduct of $p-\mathrm{OHB}$.


Figure 3. Hydrogen-bonding distances between $p-\mathrm{OHB}$ (and 3,4-DOHB) and amino acid residues in the active site of PHBH. ${ }^{11}$ Distances in the PHBH-3,4-DOHB complex are in parentheses.
have provided still further insight into the enzyme reaction. ${ }^{29,30}$ On the basis of these studies, the overall catalytic cycle has been presented. ${ }^{31}$ The catalytic cycle consists of reductive and oxidative half-reactions with six ordered steps. In the reductive half-reaction after the binding of $p-\mathrm{OHB}$ (step 1), the cofactor flavin is reduced by NADPH (step 2). The oxidative half-reaction consists of at least three intermediates (I-III). In the oxidative half-reaction after the release of oxidized NADP ${ }^{+}$, flavin is oxygenated by molecular oxygen (step 3) to C(4a)-flavin hydroperoxide (I). In the following hydroxylation step (step 4), oxygen is transferred from $\mathrm{C}(4 \mathrm{a})$-flavin hydroperoxide to the aromatic ring of the substrate through intermediate II, resulting in C(4a)-flavin hydroxide (III, step 5). The catalytic cycle is terminated by the release of the product and the other oxygen of $\mathrm{O}_{2}$ in the form of water (step 6). In contrast to the case for species I and III, there is no general agreement about the structure of intermediate II. Spectral studies suggest that the spectrum of II is a composite, with the 3 -hydroxyl radical adduct of the substrate (the hydroxycyclohexadienyl radical of the substrate) as one component (Figure 2a). The spectrum of intermediate II exhibits a pH dependence due to the substrate component of the specturm, which has a $\mathrm{p} k_{\mathrm{a}}$ value of 7.8. This spectral change has been argued to be due to the ionization of the intermediate. ${ }^{19}$
The hydroxylation step of the oxidative half-reaction is investigated in the following. Since the hydroxylation step is electrophilic in nature, one would expect it to be facilitated by a deprotonated 4-O- group of $p$-OHB. The $\mathrm{p} k_{\mathrm{a}}$ of $4-\mathrm{OH}$ of $p$-OHB in the enzyme is 7.4.28 Lying in the active site are Tyr-201 and Tyr-385, which form a hydrogen-bond network with the $4-\mathrm{OH}$ of $p$-OHB (Figure 3) and are involved in the ionization of the ligand. Tyr-201 is directly hydrogen bonded to $4-\mathrm{OH}$, while Tyr- 385 forms a hydrogen bond to OH of Tyr-201.

Chemical modification and site-directed mutation studies have indicated that one of the tyrosine residues in the active site, most

[^2]probably tyrosine 201, is ionized ( $\mathrm{p} k_{\mathrm{a}}=7.7-7.9$ ). ${ }^{32}$ Furthermore, the mutant Tyr-201 $\rightarrow$ Phe was found to have only $6 \%$ of the catalytic activity of the wild-type enzyme and to lack the ionization of the substrate. The mutant Tyr-385 $\rightarrow$ Phe also reduced the catalytic rate, but the ionization of the substrate remained. ${ }^{28}$ Thus, ionization of the substrate is probably needed to activate the substrate, and Tyr-201 and Tyr-385 are instrumental in the activity of the enzyme. 2,4-Dihydroxybenzoate and 3 -fluoro-p-hydroxybenzoate, which likewise are hydroxylated by PHBH, contain a similar ionizable $4-\mathrm{OH}$ group. ${ }^{20,24}$

The aim of the present $a b$ initio model assembly study is to investigate the proton transfer from the $4-\mathrm{OH}$ site of the ligand to the ionized Tyr-201 in the complexes of PHBH with substrate p-OHB $(1,2)$, with $3-\mathrm{OH}$ radical adducts $(5,6)$ and $3-\mathrm{OH}$ adducts $(7,8)$ of the substrate (these adducts being possible structures of $p$-OHB in the intermediate II) and with product 3,4-DOHB $(3,4)$. To shed light on the mechanism of the hydroxylation, the calculated energetics of the proton-transfer reactions are compared with the experimental results. The role of the amino acid residues forming hydrogen bonds to $p-\mathrm{OHB}$ is investigated to find out how the $\mathrm{p} k_{\mathrm{a}}$ of $p$-OHB is lowered from 9.3 in the free molecule to 7.4 in the enzyme.

## Computational Details

Ab initio molecular orbital calculations were carried out with the Gaussian $90^{33}$ and Gaussian $92^{34}$ programs on SGI 4D/35, Stellar DS2000, and Cray X-MPEA/ 432 computers. Calculations of the complexes were made by the direct SCF method. ${ }^{35}$ In the direct single-point calculations, default accuracies of Gaussian were used for integrals ( $10^{-6}$ ) and for the convergence of SCF (either $10^{-4}$ on both the energy and the density or $10^{-5}$ on the energy, whichever comes first). Restricted Hartree-Fock wave functions were calculated for closed-shell systems. In dealing with open-shell systems, the unrestricted Hartree-Fock method was employed.

For the phenol, the basis set splicing technique was applied to reduce the size of the $3-21 \mathrm{G}$ calculation ${ }^{6,36-38}$ Here the three carbon and hydrogen atoms farthest from the OH group were calculated using the STO-3G basis set, while the 3-21G was used for the other atoms. The basis set with spliced phenols will be denoted as $3-21 \mathrm{G}(\mathrm{spl})$. This procedure was earlier tested in phenol-methanol interaction calculations. ${ }^{6}$ Otherwise standard basis sets of Gaussian were employed. Since diffuse functions are important in the description of anionic species, ${ }^{39,40}$ the $3-21+G$ and $6-31+G^{*}$ were used to obtain gas-phase reference values for the protontransfer reaction. In the $3-21 \mathrm{G}(\mathrm{spl})$ optimization of $\mathrm{PHBH}-p-\mathrm{OHB}$ complexes, all the intermolecular bond lengths and angles between the carbons and oxygens of $p$-OHB were optimized. In the UHF calculations on 7 and 8 , the values of $S^{2}$ were 1.16 and 1.01 , respectively, showing high spin contamination of the wave functions ( $S^{2}=0.75$ for pure doublet). The $S^{2}$ values of the model assemblies are close to 1.20 and $1.06\left(6-31 \mathrm{G}^{*}\right)$ for the isolated OH radical adducts of $p-\mathrm{OHB}$. The effect of spin contamination on energies was studied by comparing the gas-phase energies of the proton transfer from the OH group of $p-\mathrm{OHB}$ and OH and OH radical adducts of $p-\mathrm{OHB}$ to phenolate at the various levels (Table I). The proton-transfer energy for the OH radical adduct of p-OHB at the $3-21 \mathrm{G}$ level is $35.9 \mathrm{~kJ} / \mathrm{mol}$ higher than that at the UMP2/
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Table I. Energies for the Proton Transfer ( $\Delta E, \mathrm{~kJ} / \mathrm{mol}$ ) from the OH group of $p-\mathrm{OHB}$ and OH and OH Radical Adducts of $p$-OHB to Phenolate in the Gas Phase ${ }^{a}$

| proton donor | $\Delta E$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 3-21G (spl) | 3-21G | 3-21+G | 6-31G* | 6-31+G* | MP2/6-31 $\mathrm{G}^{*} / / 6-31 \mathrm{G}^{*}{ }^{\text {b }}$ |
| p-OHB | 227.7 | 286.1 | 249.4 | 280.4 | 264.6 | 293.3 |
| OH adduct of $p$ - OHB |  | -364.8 |  | -345.0 |  | -342.9 |
| OH radical adduct of $p$-OHB |  | 241.4 |  | 245.2 |  | 205.5 (231.4) |

${ }^{a}$ Positive $\Delta E$ values indicate endothermic proton transfer from $p-\mathrm{OHB}$ compounds to phenolate. ${ }^{b} \mathrm{UMP} 2 / 6-31 \mathrm{G}^{*} / / 6-31 \mathrm{G}^{*}$ and $\mathrm{PUMP} 2 / 6-31 \mathrm{G}^{*} /$ $/ 6-31 \mathrm{G}^{*}$ (in parentheses) energies for the OH radical adduct.

6-31G*//6-31G* level, and after projection of the quartet contaminant from the UMP2 wave function (PUMP2), the difference is $10.0 \mathrm{~kJ} / \mathrm{mol}$. The $3-21 \mathrm{G}$ values for $p-\mathrm{OHB}$ and the OH adduct of $p-\mathrm{OHB}$ also agree reasonably with the MP2/6-31G*//6-31G* energies. These results indicate that the conclusions based on the 3-21G proton-transfer energies of the model assemblies are at least qualitatively correct.

## Construction of the Active-Site Model

The crystal structure of PHBH complexed with its reaction product 3,4-DOHB ${ }^{10}$ was retrieved from the Data Bank ${ }^{41}$ at Brookhaven National Library (entry name 1PHH). All manipulation of the protein structures and displaying of the results were done with the program $\mathrm{O}^{42}$ and SYBYL $5.51 .^{43}$ The model assemblies of enzyme-p-OHB and enzyme-intermediate complexes were constructed by replacing the $3,4-\mathrm{DOHB}$ of the crystal structure by the substrate $p-\mathrm{OHB}$ or the intermediates. This was done by least-squares fitting of the molecules on $3,4-\mathrm{DOHB}$. The carbon and oxygen atoms of the aromatic ring and of the $\mathrm{CO}_{2}$ and $4-\mathrm{OH}$ groups were used in the fitting procedure. The structures of $p-\mathrm{OHB}$ and $3,4-$ DOHB used in the fitting were fully optimized, as were the structures of the intermediates, except the torsion of the hydrogen of $3-\mathrm{OH}$ which was set to $78^{\circ}$. At this value the hydrogen of $3-\mathrm{OH}$ points toward the carbonyl oxygen of Pro-293. The amino acid residues Arg-214 (residue I), Ser-212 (II), Tyr-222 (III), Tyr-201 (IV), and Pro-293 (VI), which make hydrogen bonds to the ligand molecules and Tyr-385 (V), were taken into the model assembly. Phenol was used as a model compound for tyrosines, methanol for serine, acetamide for the main chain atoms of proline, and the guanidium cation for arginine. The geometries of the model compounds were optimized at the same level as the calculations of the complexes were done. The hydrogen-bonding distances between the amino acid residues and the ligand molecules in the PHBH-p-OHB and PHBH-3,4-DOHB model assemblies were adjusted to the values in the X-ray structures of the corresponding complexes determined at 1.9- $\AA$ resolution. ${ }^{12}$

## Results and Discussion

Proton Transfer in the $p-0 H B$ and $3,4-$ DOHB Complexes and the Receptor-Ligand Interactions. The results for the protontransfer reaction $p-\mathrm{OHB}+$ phenolate anion $\rightarrow p-\mathrm{OB}^{-}+$phenol (Table I) show that the reaction is highly endothermic in the gas phase, being $264.6 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at the $6-31+\mathrm{G}^{*}$ and $293.3 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at the MP2/6-31G*/ $6-31 \mathrm{G}^{*}$ level. The $3-21 \mathrm{G}$ value of 286 kJ $\mathrm{mol}^{-1}$ is closer to these values than is the $3-21 \mathrm{G}(\mathrm{spl})$ value of $227.7 \mathrm{~kJ} \mathrm{~mol}^{-1}$. The proton-transfer energy in the gas phase for $p-\mathrm{OHB}+$ phenol $\rightarrow$ p-OB ${ }^{-}+$phenol cation is $1027.1 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at the $3-21 \mathrm{G}$ level. Although the $3-21 \mathrm{G}$ energy would have been changed substantially if the surrounding amino acid residues had been included, the result indicates that the proton transfer in the enzyme from the substrate's 4-OH to the oxygen of Tyr-201 is energetically feasible only if Tyr-201 is ionized.

The calculated energies for the proton-transfer reaction $\mathrm{PHBH}-$ p-OHB (1) $\rightarrow \mathrm{PHBH}-$ p- $\mathrm{BH}^{-}$(2) in the model assemblies (Figures 4 and 5) are shown in Table II. The proton-transfer energy for $\mathbf{1} \rightarrow \mathbf{2}$ calculated from the nonoptimized complexes is 29.2 kJ $\mathrm{mol}^{-1}$ at the $3-21 \mathrm{G}$ level. It is $21.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$ smaller at the $3-21 \mathrm{G}$ (spl) level, showing thereby the same dependence on the basis set

[^3]

Figure 4. $\mathrm{PHBH}-p-\mathrm{OHB}$ model assembly (1).


Figure 5. $\mathrm{PHBH}-p-\mathrm{OB}^{-}$model assembly (2).
Table II. Absolute Energies (au) of $1-4$ and Energies ( $\mathrm{kJ} \mathrm{mol}{ }^{-1}$ ) for the Proton-Transfer Reaction $1 \rightarrow 2$ at the 3-21G(spl) and 3-21G Levels and for $3 \rightarrow 4$ at the 3-21G Level ${ }^{a}$

|  | $3-21 \mathrm{G}(\mathrm{spl})$ | $3-21 \mathrm{G}$ |
| :--- | :---: | :---: |
| $\mathbf{1}$ | -1923.48724 | -1925.72223 |
| $\mathbf{2}$ | -1923.47971 | -1925.71109 |
| $\mathbf{1} \rightarrow \mathbf{2}$ | $7.4(19.8)$ | 29.2 |
| $\mathbf{3}$ |  | -2000.16180 |
| $\mathbf{4} \rightarrow \mathbf{4}$ |  | -2000.15085 |
| $\mathbf{3} \rightarrow \mathbf{4}$ |  | 28.7 |

${ }^{a}$ The STO-3G energies for $\mathbf{1} \rightarrow \mathbf{2}$ are $52.4 \mathrm{~kJ} / \mathrm{mol}$ for the nonoptimized and $63.5 \mathrm{~kJ} / \mathrm{mol}$ for the optimized complexes.
as for the gas-phase reaction. The calculated energy for the proton transfer from the $4-\mathrm{OH}$ of the product $3,4-\mathrm{DOHB}$ to the ionized Tyr-201 (reaction $3 \rightarrow 4$ ) is $28.7 \mathrm{~kJ} \mathrm{~mol}^{-1}(3-21 \mathrm{G})$. As expected, this is close to the proton-transfer energy of $p-\mathrm{OHB}$ and predicts that the $\mathrm{p} k_{\mathrm{a}}$ for $3,4-\mathrm{DOHB}$ in the enzyme is about 7.4. After the optimizations of $\mathbf{1}$ and $\mathbf{2}$, the proton transfer becomes less favored by $12 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at the 3-21G(spl) level. The optimizations changed most the bond lengths and angles of the $\mathrm{CO}_{2}^{-}$group and the $\mathrm{C} 1-\mathrm{C1} 1^{*}$ bond length. In 1 and 2 the $\mathrm{Cl}^{*}-\mathrm{O}_{2}^{*}$ lengths increased at the $3-21 \mathrm{G}(\mathrm{spl})$ level by $0.05 \AA$, while the $\mathrm{C} 1^{*} \mathrm{O} 1^{*}$ lengths were unchanged. This dissimilar behavior is probably due to the difference in the hydrogen-bond distances, and thus the hydrogenbond strengths between Arg-214 and O1* and O2*. The O1*-Arg-214 and $\mathrm{O} 2^{*}-$ Arg-214 hydrogen bonds are 3.07 and $2.83 \AA$, respectively. Optimizations decreased the $\mathrm{Cl}-\mathrm{Cl}^{*}-\mathrm{O} 1^{*}$ and $\mathrm{C} 1-$ $\mathrm{C} 1^{*}-\mathrm{O} 2^{*}$ angles by $3-7^{\circ}$, and the $\mathrm{C} 1-\mathrm{Cl}^{*}$ distances increased $0.07 \AA$ in 1 and 2. Otherwise the optimizations caused changes

Table III. Energies ( $\mathbf{k J ~ m o l}^{-1}$ ) for the Proton Transfer without Selected Residues and the Changes in Energy Compared with the Reaction $1 \rightarrow 2(29.2 \mathrm{~kJ} / \mathrm{mol})$ at the $3-21 \mathrm{G}$ Level $^{a}$

| excluded residue | proton-transfer energy | $\Delta E$ |
| :--- | :---: | ---: |
| I | 90.3 | 61.1 |
| II | 42.1 | 12.9 |
| III | 47.2 | 17.9 |
| V | 5.3 | -34.5 |
| VI | 14.3 | -14.9 |
| II + III | 60.6 | 31.3 |
| I + II + III + V + VI | 79.5 | 50.3 |

${ }^{a}$ See Figure 3 for definition of the residues.
Table IV. Interaction Energies ( $\mathrm{kJmol}^{-1}$ ) of the Residues I-III, V, VI, and II + III in Complexes 1 and 2 at the 3-21G Level ${ }^{a}$

|  | interaction energy |  |
| :--- | ---: | ---: |
| residue | $\mathbf{1}$ | $\mathbf{2}$ |
| I | -605.1 | -666.2 |
| II | -34.4 | -47.3 |
| III | -33.2 | -51.1 |
| V | -110.2 | -75.7 |
| VI | 25.4 | 40.3 |
| II + III | -74.0 | -105.3 |

${ }^{a}$ See Figure 3 for definition of the residues.


Figure 6. PHBH-3-OH radical adduct model assembly (6).
in the geometries equal to $0.01-0.02 \AA$ in bond distances and $1-2^{\circ}$ in bond angles.
The results above show, in accordance with the experimental observations, that the proton transfer in the PHBH-p-OHB complex ( $\mathbf{1} \boldsymbol{\rightarrow} \mathbf{2}$ ) is energetically feasible. Next the effects of the hydrogen-bonding residues 1-VI on the proton transfer were studied by calculating the energy of the proton transfer in the PHBH-p-OHB model assemblies (1 and 2) without selected residues (Table III). The interaction energies of each residue before and after the proton transfer were also calculated (Table IV). Calculations were made at the $3-21 \mathrm{G}$ level.

It has been argued that Pro-293 and Thr-294 make the proton transfer unfavorable because of the partial negative charges of their carbonyl oxygens, which are located near the ionizable 4-OH of $p$-OBH. ${ }^{44}$ In the model, the carbonyl oxygen of Pro-293 (residue VI) is located $2.92 \AA$ from the O4. The Pro-293 is also close to C 3 where the hydroxyl group is introduced in the enzyme reaction. In the PHBH-3,4-DOHB complex (3) the oxygen of Pro-293 is $2.35 \AA$ from the oxygen of $3-\mathrm{OH}$. Because of the proximity to C3, Pro-293 may be of importance in the activation of C3 and in the stabilization of the transition state of the enzyme reaction (Figure 6). In the PHBH-p-OHB complex (1, Figure 4), the distance between the carbonyl oxygen of Thr-294, which is not included in the model, and O 4 is $2.97 \AA$. We can see from Table IV that exclusion of residue VI (Pro-293) from the model assembly facilitates the proton transfer by $14.9 \mathrm{~kJ} \mathrm{~mol}^{-1}$. The interaction energy of VI is repulsive in both complexes, being $25.4 \mathrm{~kJ} \mathrm{~mol}^{-1}$ in 1 and $40.3 \mathrm{~kJ} \mathrm{~mol}^{-1}$ in 2.
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Molecular electrostatic potential maps (MEP) calculated at the $3-21 \mathrm{G}$ level in the plane of the aromatic ring of $p-\mathrm{OHB}$ and $p-\mathrm{OB}^{-}$are presented in Figure 7. The partial charges of the ring heavy atoms of $p$-OBH and $p-\mathrm{OB}^{-}$obtained from Mulliken population analysis ${ }^{45}$ and Natural Bond Orbital (NBO) analysis ${ }^{46}$ are listed in Table V. The MEPs and partial charges show that the negative charge is spread from the ionized O 4 along the $\pi$-system of the ligand to the opposite end of the molecule. The negative charges of $\mathrm{O}^{*}$ and $\mathrm{O}^{*}$ have increased by 0.016 (Mulliken) and 0.020 (NBO). In addition to the increased negative charge around the $\mathrm{CO}_{2}^{-}$, two additional features of the MEPs can be seen. First, owing to the ionization of $4-\mathrm{OH}$, the negative regions in the vicinity of the ortho and meta carbons of the ligand are considerably enlarged. This indicates that the ionization has made the ligand more prone to electrophilic attack, which evidently facilitates the enzyme reaction. Second, the electrostatic potential in the region between O 4 and the carbonyl oxygen of VI (Pro-293) is enlarged. This is in accordance with the calculated effect of VI on the proton-transfer reaction and the increase in the repulsive interaction of VI $\left(14.3 \mathrm{~kJ} \mathrm{~mol}^{-1}\right)$. The ionization makes the interactions of residues I-III, which form hydrogen bonds to the $\mathrm{CO}_{2}{ }^{-}$group of $p-\mathrm{OHB}$ (Table IV), more attractive. The ion-pair interaction energy between residue III (Arg-214) and the ligand has increased by $61.1 \mathrm{~kJ} \mathrm{~mol}^{-1}$. The interaction energy of II (Ser-212) has increased by $12.9 \mathrm{~kJ} \mathrm{~mol}^{-1}$, and the energy of III (Tyr-222), by $17.9 \mathrm{~kJ} \mathrm{~mol}^{-1}$. The higher increase in the interaction of III may be influenced by the fact that the aromatic ring in III is more polarizable and can delocalize the charge in the aromatic $\pi$-system better than methanol. Also relevant is the shorter hydrogen-bond distance from the carboxylate oxygen to III ( 2.33 and $2.36 \AA$ ) than to II ( 2.75 and 2.95 $\AA)$. It may be noted, in addition, that the interaction energies of II and III in the complexes are not additive. The nonadditivities are 6.4 and $6.9 \mathrm{~kJ} \mathrm{~mol}^{-1}$ in complexes $\mathbf{1}$ and $\mathbf{2}$, respectively. Thus, the exclusion of one ligand is partly compensated by the stronger interaction of the other.

The delocalization of the negative charge and the stabilization of the ionized $p-\mathrm{OB}^{-}$have considerable effect on the lowering of the proton-transfer energy. The exclusion of residue II and III makes the proton transfer more endothermic. As expected on the basis of the higher interaction energy, the effect of excluding III is larger. When both residues are excluded at the same time, the proton transfer becomes $31.3 \mathrm{~kJ} \mathrm{~mol}^{-1}$ more endothermic. In the model from which all the residues except IV are excluded, leaving only the proton-transfer partners in the model, the protontransfer energy is $79.5 \mathrm{~kJ} \mathrm{~mol}^{-1}$. Although this energy is 206.6 $\mathrm{kJ} \mathrm{mol}^{-1}$ lower than the energy of the gas-phase reaction, it is still considerable. The observation that the proton transfer becomes more favorable due to the hydrogen-bonding residues is in accordance with the general idea that a polarizable environment stabilizes species with charges. 47-49 Here this phenomenon is observed not only as the delocalization of the charge but also as stronger hydrogen bonds between the $\mathrm{CO}_{2}{ }^{-}$group and ligands I-III.

In spite of high attractive interaction energies, residue $\mathbf{V}$ (Tyr385) has an unfavorable effect on the proton-transfer reaction. The exclusion of V makes the proton-transfer reaction 34.5 kJ $\mathrm{mol}^{-1}$ more favorable. The reason is clear: $\mathbf{V}$ makes a hydrogen bond to residue IV (Tyr-201) and stabilizes the ionized form of IV. However, through this stabilization, $\mathbf{V}$ (Tyr-385) may have an important role in lowering the $\mathrm{p} k_{\mathrm{a}}$ of $\mathrm{Tyr}-201$ to the value of 7.7-7.932 in the enzyme.

[^4]

Figure 7. Molecular electrostatic potential in the plane of the aromatic ring of the ligand in (a, left) $\mathrm{PHBH}-\mathrm{p}-\mathrm{OHB}$ (1) and (b, right) $\mathrm{PHBH}-\mathrm{p}-\mathrm{OB}-$ (2) model assemblies calculated at the $3-21 \mathrm{G}$ level. Values of the isocontours are in $\mathrm{kJ} \mathrm{mol}^{-1}$, and the positive isocontours ( $600 \mathrm{~kJ} \mathrm{~mol}^{-1}$ ) are drawn with dashed lines. The values of the axes are in angstroms.

Table V. Calculated Mulliken Charges for Selected Atoms of p-OHB and $p-\mathrm{OB}^{-}$in Complexes 1 and 2 at the $3-21 \mathrm{G}(\mathrm{spl})$ and 3-21G Levels and Charges from NBO Analysis at the 3-21G Levela

${ }^{a}$ Charges of the optimized complexes are in parentheses.
The experiments with various substrate analogues, and the location of the site of the hydroxylation ortho to the existing OH , establish that the hydroxylation proceeds by an electrophilic attack. ${ }^{44}$ The ionization of $4-\mathrm{OH}$, which makes the 3-position more prone to electrophilic attack, also has been observed to facilitate the hydroxylation. ${ }^{28}$ The Mulliken and NBO charges of ring carbon atoms $\mathrm{C} 1-\mathrm{C} 6$ of $p-\mathrm{OHB}$ and $o-\mathrm{OB}^{-}$(Table V ) show the activation of ortho positions 3 and 5 by the OH group and the activating effect of the ionization of 4-OH. At the 3-21G level, the meta carbons have Mulliken negative charges that are 0.09 and 0.14 smaller than the ortho carbons in $p$-OHB and $p-\mathrm{OB}^{-}$, respectively. In the NBO analysis these values are 0.16 and 0.25 . The optimizations can be seen to have increased the difference between the charges of the ortho and meta carbons by $0.013-0.020$. The MEPs reproduce the tendencies observed in atomic partial charges.

Proton-Transfer Reactions in the Complexes of Intermediates. The structure of $p-\mathrm{OHB}$ and its analogues in the intermediate II is argued to be either a $3-\mathrm{OH}$ radical adduct (Figure 2a) or a 3-OH adduct (Figure 2b) of the substrate. ${ }^{18,19,50,51}$ Both adducts are easily fitted to the active site. The aromatic rings of both


Figure 8. Two alternative routes for the conversion of II to III.
Table VI. Absolute Energies (au) of 5-8 and Energies (kJ mol${ }^{-1}$ ) for the Proton-Transfer Reactions $5 \rightarrow 6$ and $7 \rightarrow 8$ at the 3-21G Level

|  | $3-21 \mathrm{G}$ | reaction | proton-transfer energy |
| :---: | :---: | :---: | :---: |
| $\mathbf{5}$ | -2000.70053 |  |  |
| 6 | -2000.67775 | $\mathbf{5} \rightarrow 6$ | 59.8 |
| 7 | -2000.61623 |  |  |
| $\mathbf{8}$ | -2000.67541 | $7 \rightarrow 8$ | -155.4 |

species are almost planar, and the $3-\mathrm{OH}$ can form a hydrogen bond to the Pro-293, which would stabilize the intermediate. The structure of the $3-\mathrm{OH}$ radical adduct of $p-\mathrm{OB}^{-}$fitted to the active site is shown in Figure 6. Spectral studies have indicated that the substrate may be a radical. ${ }^{18,50}$ However, the possibility of a 3-OH adduct is not ruled out, first, because no unpaired electron has been observed in the intermediate II and, second, because the spectrum of this adduct, which could be formed upon the oxidation of the radical species (Figure 8, step 5, (ii)a), would be very similar to that of the radical. ${ }^{50}$

Since the spectrum of II exhibits a pH dependence with $\mathrm{p} k_{\mathrm{a}}$ $=7.8$ due to the substrate component of the spectrum, ${ }^{19}$ the energetics of the proton transfer was investigated for models of $3-\mathrm{OH}$ radical adducts ( $5 \rightarrow 6$ ) and $3-\mathrm{OH}$ adducts ( $7 \rightarrow 8$ ) of $p$-OHB to determine which one better is suited to the observed $\mathrm{p} k_{\mathrm{a}}$. The results from proton-transfer calculations at the $3-21 \mathrm{G}$ level are presented in Table VI.

If we calibrate the calculated energies by assuming that the proton-transfer energy $0.0 \mathrm{~kJ} \mathrm{~mol}^{-1}$ corresponds to $\mathrm{p} k_{\mathrm{a}}=7.0$, and the $29.2 \mathrm{~kJ} \mathrm{~mol}^{-1}$ calculated for $\mathbf{1 \rightarrow 2}$ corresponds to the observed $\mathrm{p} k_{\mathrm{a}}=7.4$, then the proton-transfer energy for the intermediate II with $\mathrm{p} k_{\mathrm{a}}=7.8$ can be predicted to be roughly $60 \mathrm{~kJ} \mathrm{~mol}^{-1}$

[^5]$\left(\Delta \Delta E \propto \Delta \mathrm{p} k_{\mathrm{a}}\right) .{ }^{52}$ The calculated energy of $59.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$ for the radical adduct $(5 \rightarrow 6)$ is in excellent agreement with the estimate. In the case of $7 \rightarrow 8$, the $\mathrm{p} k_{\mathrm{a}}$ predicted in a similar way is as low as 5. Despite the many simplifications incorporated into the model, the result firmly indicates that the radical adduct is involved in the intermediate II.

There is some spectral evidence to suggest that more than one species is involved in the conversion II $\rightarrow$ III in the hydroxylation, as shown in Figure 8. ${ }^{18,19,50,51}$ In addition, a small isotope effect ( $k_{\mathrm{H}} / k_{\mathrm{D}}=1.10$ ) was observed when 2,4-dihydroxybenzoate was used as a substrate for the conversion II $\rightarrow$ III. ${ }^{45}$ There are two possible pathways for the decay of intermediate II (Figure 8, step 5 , (i) and (ii)) which can explain the isotope effect. ${ }^{51}$ (i) The hydrogen may be abstracted by a base such as a ${ }^{\circ} \mathrm{H}$. In this case the isotope effect would be small because the $\mathrm{C}-\mathrm{H}$ bond is effectively broken before the transition state. (ii) There may be more than one transition state in the conversion II $\rightarrow$ III. In this conversion the radical adduct is first oxidized and $\mathrm{H}^{+}$is abstracted by the base from the OH adduct. On the basis of the experimental observations and the present calculations, the hydroxylation pathway (i) seems more probable than the second pathway (ii). This conclusion is supported by the fact that the intermediate IIb, if it is formed at all, must be very short-lived, decaying rapidly to the intermediate III. Hence, it seems unlikely that the isotope effect would have been detected for the conversion II $\rightarrow$ III if $\mathrm{H}^{+}$ were abstracted by a base from the intermediate IIb.

## Conclusions

This paper has presented abinitio model assembly calculations on the proton-transfer reaction between the ligand $p-\mathrm{OHB}$ and the active-site Tyr-201 in PHBH. The use of several simplifications in the calculations needs to be emphasized. First, the total optimization of the active site has been neglected; second, the possible steric and long-range electrostatic effects of the protein matrix have not been included. Despite these shortcomings, the results of the present calculations are in reasonable agreement with the experimental observations and elucidate several aspects of the enzyme reaction of PHBH.
(52) $\Delta \Delta E \propto \Delta \mathrm{p} k_{\mathrm{a}}$ comes from the equation $\Delta \Delta G=2.3 R T \delta \mathrm{p} k_{\mathrm{a}}$.

The calculations provide insight into the role of the ligandbinding amino acids in lowering the $\mathrm{p} k_{\mathrm{a}}$ of $p-\mathrm{OHB}$ from the value of 9.3 for the free molecule to the value 7.4 for the enzyme. Owing to the higher negative charges of the carboxylate oxygens in the ionized than in the nonionized form of the ligand, they made stronger hydrogen bonds and so facilitated the proton transfer. The amino acid residues Arg-214, Ser-212, and Tyr222 forming hydrogen bonds to the $\mathrm{CO}_{2}^{-}$group of $p$-OHB were important in this respect. The ionization increased the hydrogenbonding energies between the ligand and Arg-214, Ser-212, and Tyr-222 by $61.1,12.9$, and $17.9 \mathrm{~kJ} \mathrm{~mol}^{-1}$, respectively. These results are a manifestation of the general rule that the more highly charged species are stabilized most by a polarizable environment such as protein matrix. Pro-293 made the proton transfer 14.9 $\mathrm{kJ} \mathrm{mol}^{-1}$ more unfavorable due to the short $2.94-\AA$ distance between the carbonyl oxygen of Pro-293 and the ionizable oxygen of $p$-OHB. The structures of PHBH-intermediate complexes revealed that Pro-293 may have an important role in stabilizing the intermediate II by forming a hydrogen bond to the $3-\mathrm{OH}$ of the intermediate.
The calculated proton-transfer energy in the PHBH-p-OHB complex was $29.2 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at the $3-21 \mathrm{G}$ level and $7.4 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at the $3-21 \mathrm{G}(\mathrm{spl})$ level. The partial optimization of the ligand increased the proton-transfer energy by $12 \mathrm{~kJ} \mathrm{~mol}^{-1}$ at the $3-21 \mathrm{G}$ (spl) level. The experimental $\mathrm{p} k_{\mathrm{a}}$ in this complex is 7.4. The OH radical and OH adducts of $p$-OHB were studied as possible structures of the ligand in the intermediate II. The calculated proton-transfer energy was $59.8 \mathrm{~kJ} \mathrm{~mol}^{-1}$ for the OH radical adduct. For the OH adduct it was $-155.4 \mathrm{~kJ} \mathrm{~mol}^{-1}$, indicating that this species would be in ionized form in the enzyme. Comparison of the calculated proton-transfer energies and the observed $\mathrm{p} k_{\mathrm{a}}=7.8$ of the intermediate II supports the argument that the ligand in the intermediate II in the catalytic reaction probably has the OH radical adduct structure. Earlier, spectral studies showed an agreement between the spectrum of the radical adduct complex of enzyme and the intermediate II. In spite of attempts, however, no unpaired electrons have been observed in the intermediate II. Moreover, the mechanism for the decay of intermediate II to intermediate III, and the possible role of the OH adduct in this mechanism, are as yet unresolved.


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